Using the editable fields?
To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

Quilter

Family Linking request

– To be completed with a financial adviser

Please use this form:

- ✓ if you want to link investments for your clients with those of their family members to receive our 'Family Linking' benefit when we are calculating the Charge Basis 3 Product/Service Charge and all parties have the same financial adviser

We regularly update our forms; your financial adviser can confirm that this October 2022 version is the latest by checking the literature library on our website ***platform.quilter.com***

Please do not use this form to:

- ✗ **link a child's Collective Retirement Account or Junior ISA to the parent/guardian who is the registered contact on the CRA or JISA,** because they will be automatically linked to the child's investment.
- ✗ **request the Family Linking benefit if your client only has Charge Basis 1 or 2 investments.** When you request to link investments on Charge Basis 3 to investments on Charge Basis 1 or 2, the Charge Basis 3 investment will benefit from the combined value of all of those investments for Family Linking, but the Investor Charge on the Charge Basis 1 or 2 investment will be unaffected.

Completing this form

- Complete this form, using BLOCK CAPITALS and blue or black ink. For dates, please use the format day/month/year.
- Send the completed form as follows:

  By post: to Quilter, PO Box 10994, WIGSTON, LE18 9JB.

  By email: send your scanned copy via PROMPT, our tool for uploading documents direct to us, visit: ***platform.quilter.com/support-and-help/tools/new-prompt/***

Which family members and investments are eligible for Family Linking?

Individuals - The following family members of the investor named in section 1:

- ✓ Spouse/Civil partner
- ✓ Sibling
- ✓ Child, step-child or child in-law
- ✓ Grandchild
- ✓ Parent, step-parent, or parent in-law
- ✓ Grandparent

Please complete the family member's details in section 2A.

Other investments – You can also link the following types of investment:

▶ *Where we say 'family members' below, we mean any family member shown in the list above.*

- ✓ A trust, if the investor or their family member is the settlor of the trust.
- ✓ A Self Invested Personal Pension (SIPP) investing in a Collective Investment Account (CIA), if the investor or their family member is a member of the SIPP.
- ✓ A CIA held within an offshore bond, if the investor or their family member is the bond holder or settlor of the bond held in trust.
- ✓ A shareholder of a company investing through a CIA (where all other shareholders are family members).

Please complete the investment details in section 2B.

How does the Family Linking benefit work?

- The rate of the Product/Service Charge reduces in stages as the total value of an investor's assets increases. When investments are linked, we use the combined value of all investments held by the linked investors to calculate the percentage rates for the Product/Service Charge they each pay.
- The Product/Service Charge only applies to investments held on Charge Basis 3, but those on Charge Basis 1 and 2 can also be linked so that their values will be included to reduce the Product/Service Charge rate.
- Linking Charge Basis 1 and 2 investments does not convert them to Charge Basis 3 and they will remain subject to the Investor Charge.
- Once we accept the Family Linking request, we will link (usually within 10 working days) all investors detailed on this form who meet the eligibility criteria. Please ensure you provide the correct Customer References otherwise we will not be able to carry out the request.
- We will update the platform so that financial advisers can check that the Family Linking request has been carried out. The investor's regular statement will also show the number of investments that are linked and benefitting from Family Linking.
- We won't release to the investor or their family members the value or any information about their investments. Please see the declaration and notes in section 3 for more information.

1. Investor details

Investor's full name*

Date of birth | | | | | | | | | Customer Reference** A C | | | | | | | |

If the main investor link is via a child's CRA or JISA please give the child's details above, then the name of the registered contact/legal guardian below.

Registered contact/ legal guardian

*** If the investor has more than one Customer Reference, please give details of all that are to be linked.*

2. Investments to be linked

Note – **Customer References**
- The Customer References in this section will be linked to each other, where eligible, not just to the investor named in section 1.
- **If the investor has more than one Customer Reference, please give details of all that are to be linked.**
- If no assets are held under a Customer Reference given, it cannot be linked. If there are still no assets under the Customer Reference after three months, we reserve the right to disregard the instruction.

– Additional Family Linking requests can be submitted when other family members become investors, if required.

2A Individuals

Complete this section with details of the individuals to be linked, including their relationship to the investor named in section 1. ▶ *If there are more than six, please use a copy of this page to provide the same information for each additional individual.*

Full name

Date of birth Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Full name

Date of birth Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Full name

Date of birth Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Full name

Date of birth Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Full name

Date of birth | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Full name

Date of birth | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

2B Other investments to be linked

Complete this section with details of other investments to be linked, including:

- The name of the investor (eg the SIPP, trust etc, as applicable)
- The underlying investor* and
- Their relationship with the investor named in section 1.

▶ *If there are more than six, please use a copy of this page to provide the same information for each one.*

Investor name

Underlying investor | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Investor name

Underlying investor | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Investor name

Underlying investor | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Investor name

Underlying investor | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Investor name

Underlying investor | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

Investor name

Underlying investor | Customer Reference A C

Relationship ○ Spouse ○ Child ○ Parent ○ Sibling ○ Grandparent ○ Grandchild

** For the purposes of this form, underlying investor means:*

- *Sole member of a SIPP investing through a CIA*
- *Settlor of a trust holding a CIA/CIB*
- *Policy holder of an offshore bond investing through a CIA*
- *Settlor of a trust holding an offshore bond investing through a CIA*
- *Shareholder of a company investing through a CIA (where all shareholders are eligible to link).*

3. Financial adviser's declaration and signature

a) I confirm that:

- as agent of the parties detailed in this form, I have authority to establish Family Linking between them
- I have verified that the parties meet the criteria specified for Family Linking
- I have explained to each client that:
  - once linked, the total value of all linked accounts will be used to calculate the Product/Service Charge on each account
  - Quilter will not release information about the investments between individuals, but illustration documents will confirm the total value of linked assets so the applicable charges can be calculated and displayed
  - linked investors may be able to make assumptions about the value of their family's accounts, based on changes to their own charges.

b) I understand that:

- links are only created between the investments detailed in this form and they do not cascade
- once linked, the total value of all linked accounts will be used to calculate the Product/Service Charge on each account
- Quilter will not release information about the investments between individuals, but illustration documents will confirm the total value of linked assets so the applicable charges can be calculated and displayed
- linked investors may be able to make assumptions about the value of their family's accounts, based on changes to their own charges.
- Quilter reserves the right to:
  - ask for evidence to support eligibility criteria and carry out any checks deemed necessary for this purpose
  - reject or remove the link between investors at any time.

Adviser's signature		Date	
Adviser's name *(print)*			
Adviser firm name *(if applicable)*			
Telephone		Email	

Reset

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