Completing the form on screen?
***IMPORTANT**: First save it to your desktop. Then open it in **Adobe Acrobat** before filling it in. This ensures the information is saved correctly.*

Quilter

ISA Additional Permitted Subscription (APS)

– Spouse inherited ISA application

For completion with a financial adviser

With this form you can:

☑ invest up to the APS allowance by moving inherited ISA assets from your late spouse's Quilter ISA into:
- your existing ISA with us, or
- a new ISA in your name (if you do not already have one with us).

The assets will be moved into your ISA without actually selling them; this is known as an 'in specie' transfer.

This form cannot be used for:

☒ investing cash lump sums towards an APS allowance, for which there is a separate application.

We regularly update our forms; your financial adviser can confirm that this **October 2026** version is the latest by checking on our website ***quilter.com***

What is an Additional Permitted Subscription (APS)?

When an ISA investor dies, his or her surviving spouse[1] is entitled to make an APS into an ISA, over and above the personal annual ISA allowance. The APS allowance available is dependent on the date of death:

- For deaths between 4 December 2014 and 5 April 2018, the allowance is limited to the value of the deceased's ISA as at the date of death.
- For deaths on or after 6 April 2018, the APS allowance is limited to the higher of[2]:
  a) The value of the deceased's ISA as at the date of death (hereafter referred to as **APS1**);
  b) The value of the deceased's ISA when it ceases to be a continuing account[3] (hereafter referred to as **APS2**).

Please tick all statements below that apply:

- ○ As the deceased's surviving spouse, I inherited the ISA from the deceased
- ○ The deceased's ISA was, and still is, held with Quilter
- ○ I already have an ISA with Quilter, or intend to open one using this form

Have you been able to tick <u>all</u> three statements above?

Yes

You can complete this application
- For deaths between 4 December 2014 and 5 April 2018, **HMRC rules** only allow us to move the units that were in the deceased's ISA as at the date we were notified of the death, and which remain in the ISA when we act on the instruction (up to the APS allowance available).
- For deaths on or after 6 April 2018, HMRC rules allow us to move the units that are in the deceased's ISA when we act on the instruction (up to the APS allowance available).

No

This is the wrong application for you
- **HMRC rules** do not permit an 'in specie' movement of the units, unless all of the criteria above are met.
- However, if you are the surviving spouse of an ISA investor, you can still make an additional permitted subscription by using our **ISA APS Cash Lump Sum application**, which is available from your financial adviser or by calling our Customer Service Centre on 0808 171 2626

[1]References to 'spouse' in this form also mean civil partner.

[2]The value used will be subject to certain conditions being met – please refer to the ISA Additional Permitted Subscription (APS) Options flyer for more information.

[3]The deceased's ISA will cease to be a continuing account on the earlier of: completion of the administration of the deceased's estate; the third anniversary of the death; the closure of the account.

- If you already have an ISA with us, the assets must be added to your existing ISA; they cannot be held separately.
- If you wish to invest using our Managed Portfolio Service (MPS) or an adviser portfolio, you will need to do this with the help of your financial adviser after the transfer of assets has been completed.
- This application does not cater for regular withdrawals, which can be arranged at a later date, if required.
- **Please be aware that once a subscription using the APS allowance has been made to Quilter, any future subscriptions from that APS allowance MUST also be made to Quilter.**

Completing this form

- **Option 1 (electronic)**: Save the form to your desktop, open it in **Adobe Acrobat** to complete the editable fields, then print and sign it.
- **Option 2 (by hand)**: Print the form and complete it in BLOCK CAPITALS using blue or black ink.
- **Date Format**: please use **DD/MM/YYYY**.
- Missing or unclear information can result in delays. We are unable to correct errors or omissions retrospectively.
- The deceased's legal personal representative(s)* (LPR) must also sign this form in section 8.

** References to legal personal representatives include executors and administrators, or the surviving spouse if there is no Will or Grant of Probate.*

Additional documents or information we might need

- **Other documents** relating to the death of the ISA investor - we will inform you of our requirements.
- **Power of Attorney document** – if an attorney is applying on your behalf - see Supplement B.

Tax information

- Under Exchange of Information regulations we are required to obtain various details of our investors, including where they were born, their nationalities, countries of tax residence and related tax identification numbers - section 2 of this form is where you can provide this information.
- We also need to obtain 'a self-certification' that the information provided is true and complete - this is contained in the declaration in section 9. We may have to provide this information to HMRC who may then share it with overseas tax authorities.
- ISAs are exempt from Exchange of Information reporting obligations. However, where we hold money outside of an ISA, to be invested in the ISA at a later date, we may have to provide this information to HMRC, who may share it with overseas tax authorities. We may contact you for further information if required.

How to send us your form

Send your scanned form* to us via PROMPT, our tool for advisers to upload documents direct to us. Visit:

quilter.com/Adviser-Prompt

OR

Send your scanned form* securely by email to:

WealthClaims@Quilter.com

Alternatively, you can send your form by post to: Quilter, SUNDERLAND, SR43 4JP.

** Before sending any supporting documents to us, please refer to our guide about which documents must be certified as true copies and who can certify them. The guide is available via the PROMPT link above.*

Important documents you need to read before completing your application

Your financial adviser will have provided you with the following:

- **ISA Key Features Document**
- **ISA Terms and Conditions for Charge Basis 3**
- **A projection/illustration,** which includes a Costs and Charges Statement.
- **Information about the assets for your investment, including:**
  - **Key Investor Information Documents (KIIDs) or Key Information Documents (KIDs)**
  - **Details of any rebates applicable**
- **Privacy notice concerning use of your personal information.**

The declaration you sign in section 9 will include your confirmation that you have read these documents.

1. Financial adviser's details and declaration ▶ To be completed by your financial adviser

1.1 Network ▶ *If applicable*

Company name

Assigned adviser/Account to receive remuneration

1.2 Are you appropriately authorised to conduct this business? ○ Yes - go to 1.3 ○ No - you cannot submit this application

1.3 Did you give financial advice to the client in respect of this application? ○ Yes ○ No

Declaration

1.4 Adviser Fees – I understand that adviser fees will be paid subject to the limits outlined in Quilter's Remuneration Guide.

1.5 Confirmation of Verification of Identity (CoVI)*:

I confirm that:

a) I have verified the identity of all relevant parties referred to in this application

b) the information in this form was obtained by me in respect of the relevant parties

c) the evidence I have obtained to verify the identity of the relevant parties can be produced on demand and meets the standard of evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (JMLSG)

d) if any individual referred to in this application has changed address within the last three months, I can provide evidence on demand

e) I have not verified the identity of the following parties referred to in this application because they are exempt from verification under Money Laundering Regulations

f) this section is signed below by the person who has seen the documentary evidence (which may include an electronic identity check).

1.6 Tax information – based on the knowledge I have gained of the relevant parties, I have no reason to believe that the tax residency self-certification requires any follow-up action from me.

1.7 Correspondence

If my client is opening a new account, I confirm that:

a) they understand that they will receive online correspondence only, and they have agreed to register for the Quilter App or online Customer Centre, if not already registered

b) I have explained to my client that they will receive an email from Quilter with the details they need to register for the Quilter App or online Customer Centre, if not already registered

c) this application contains the correct email address for my client

d) I understand that I am responsible for sending correspondence to my client prior to their registering for the Quilter App or online Customer Centre.

Financial adviser's signature | Date

Print full name | Position

Email address | Mobile phone

Additional needs

– If your client has any additional needs related to their personal circumstances, such as their abilities, health, life events, or overall resilience, please let us know by completing our online form. You can access it by clicking **here** or go to 'help and support' on our website, **quilter.com**. It only takes a few minutes and helps us tailor our services to support them.

**CoVI*

– This section cannot be used to verify the identity of anyone who falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification,
  - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations, or
  - those whose identity has been verified using the source of funds as evidence.

Evidence of identity must be provided to us for any such cases.

2. Your details

2.1 Type of investment

○ New account ▶ *This only applies if you do not already have an ISA with us*

Customer Reference *Account*

○ Top up this ISA A C –

2.2 Personal details

Title ○ Mr ○ Mrs ○ Miss ○ Ms ○ Other ▶ *Please specify*

First name(s)

Surname

Date of birth

Mobile phone number Home phone

Email address

National Insurance (NI) number **OR** If you are ineligible to have an NI number, tick here* ○

** If you are ineligible to have an NI number, we may ask for further information to confirm ineligibility. If it is subsequently found that you were eligible for an NI number, or already have one, this could lead to our voiding the ISA.*

Permanent UK residential address

Postcode

▶*We cannot accept addresses that are 'care of', contain a PO Box number, or belong to your financial adviser.*

Use the residential address for correspondence? ○ Yes ○ No - enter the correspondence address below

Correspondence address

Postcode

▶*We cannot accept a correspondence address that belongs to your financial adviser.*

Do you hold tax residency status anywhere other than the UK? ▶ *Please tick one, as applicable*

○ No, in the UK only
○ I hold dual tax residency and I am, or my late spouse was, a Crown employee
○ I hold dual tax residency and I am not, nor was my late spouse, a Crown employee* Please complete **Supplement A**

What is your country of nationality? ▶ *Please tick one, as applicable*

○ I am a UK national only
○ I have either dual nationality or a non-UK nationality* Please complete **Supplement A**

*Please note, if you are resident in the US for tax purposes or if you are a citizen of the US, Russia or Belarus, you will not be able to proceed with your application.

3. Details of the deceased

Full name

Date of birth Date of death

National Insurance (NI) number *If the deceased had never been issued with an NI number, tick here* ○

Last permanent residential address

Postcode

Date of your marriage/civil partnership with the deceased

The deceased's ISA account number(s):

▶ *If the deceased had more than one ISA with us, their values will be combined to form one APS allowance*

4. Asset choice

The assets in the deceased's ISA will be transferred to your ISA, where possible*.

- For deaths before 6 April 2018: Units in the deceased's ISA, as at the date we receive notification of the death, will be moved into your name, provided their value does not exceed the APS allowance available to you.
- For deaths on or after 6 April 2018: Units in the deceased's ISA, as at the date we process your instruction, will be moved into your name, provided their value does not exceed the APS allowance available to you.

* *If any assets are not available for transfer, we will sell them and transfer the sale proceeds instead. The proceeds will be held as cash within your ISA but you or your adviser will be able to switch the cash into different assets once the transfer is complete, if required. Where it is not possible to transfer the cash proceeds to your ISA, we will send them to the bank account you specify in section 6.*

If the value of the assets:

- has fallen, you can top up your ISA with a cash lump sum to maximise the APS allowance
- has increased, any excess above the APS allowance will be paid to the bank account you specify in section 6.

If the assets include any Exchange Traded Instruments (ETIs):

- they can only be transferred in whole units
- we will therefore transfer the asset by rounding down to the nearest whole unit.

If cash is held within the deceased's ISA:

- this will be transferred and placed in cash in your ISA
- any cash transferred will be treated as a cash subscription against the APS allowance under HMRC rules.

If any assets being transferred are part of a model portfolio or MPS:

- they will be 'unlinked' from the model and held as individual funds in the new account
- your adviser can reinstate the model portfolio after the transfer is complete, if required.

5. Distribution options

State here how you wish us to handle any distributions of income we receive for assets in your ISA (tick one):
▶ *If left blank, 5.1 will apply.*

Interest earned on any cash held within your ISA

- If you select 5.1 or 5.2, any interest earned on cash in your ISA, will be added to the cash balance.
- However, if you select 5.3, any cash interest will be paid out at the same time.

Exchange Traded Instruments (ETIs)

- If you select 5.1, any reinvestment into an ETI will incur a Dealing Charge; stamp duty and other costs may also apply. The number of units purchased will be rounded down to the nearest whole number (any excess will be held as cash in your ISA).

5.1 ○ Reinvest into the ISA

5.2 ○ Leave as cash in the ISA

5.3 ○ Pay into the bank account detailed in section 6 as follows: ▶ *If left blank, a) will apply.*

a) ○ **Immediately –** Please pay income to my bank account as and when received from the asset managers.

b) ○ **Regularly** **–** Please pay income to my bank account as follows:

○ Monthly ○ Quarterly ○ Half-yearly ○ Yearly

6. Your bank details

We will use the information you provide in this section for making any payments to you from your ISA.

- This must be a UK bank account in your name or with you as a joint holder; we CANNOT make withdrawal payments to third parties.
- We may ask to see proof that it is your bank account. We will let you know if this is the case as soon as we can.
- Some banks or building societies cannot accept direct credits.
- If you change your bank details, or if you want to request payment to a different account in the future, just let us know. We can hold details for up to four bank accounts under your customer reference number.

Branch sort code | | - | | - | | Bank/Building Society account number | | | | | | | | |

Bank/Building Society name

Name of bank account holder(s)

Reference *(optional)*

Any reference here will appear on your bank statements. For a Building Society account, enter the roll number.

7. Adviser fees (if required)

Initial fee ▶ *An initial fee is not available for this type of application.*

Ongoing servicing fee

- The servicing fee is an annual amount including VAT, which will be divided by the payment frequency selected and paid accordingly. ▶ *For example, £100 half-yearly would be £50 every six months.*
- Percentage fees can be entered up to two decimal places.
- Where a percentage fee amount is agreed, the fee paid may go up or down depending on the value of your investment on the date the fee is deducted.
- Any servicing fee authorised will override any existing servicing fee instruction on this account.
- If you leave the frequency blank, we will assume 'monthly'.
- If you wish to cancel an existing fee instruction using this form, enter £0 or 0% in the boxes below. It will be cancelled for the whole account, not just the investment in this application.

A - Fixed monetary amount

Fee amount £ each year

Frequency ○ Monthly ○ Quarterly ○ Half-yearly ○ Yearly

B - Fixed percentage

Fee amount % of the account value each year

Frequency ○ Monthly ○ Quarterly ○ Half-yearly ○ Yearly

C - Tiered percentage

Tiered fee structure name

Insert the investment bands and their respective percentages in the table below.

Total investment* value		% Servicing fee each year
Lower limit	Upper limit	

Frequency ○ Monthly ○ Quarterly ○ Half-yearly ○ Yearly

** We use the combined value of the investments held under the Customer Reference number to determine the fee rate and then apply that rate to the value of this account. The fee is calculated on a daily basis using the value of the account, multiplied by the annual fee rate and divided by 365.25. The total of accrued daily fees is taken in line with the frequency selected.*

8. Legal personal representative declaration and signature(s)

The following declaration is made by each of the deceased's legal personal representatives* (LPRs) signing below.

*References to legal personal representatives include executors and administrators, or the surviving spouse if there is no Will or Grant of Probate.

▶ *If there are more than two LPRs, please use a copy of this page for them to complete, and attach it to this form.*

1. I declare that:
   a) I am an appointed LPR (as defined above), authorised to manage the estate of the deceased
   b) the deceased is not an undischarged bankrupt and/or not deemed to be insolvent in accordance with the meaning of the Insolvency Act 1986 as amended, extended, or re-enacted
   c) the information given in this form is true and correct
   d) if I have omitted any information required by Quilter Investment Platform Limited ('Quilter), I understand that Quilter will not act on this form until all the required information has been received.
2. I authorise and request Quilter to carry out the transfer of assets as indicated in this form.
3. I understand that:
   a) if the value of the assets being moved exceeds the APS allowance, or if an asset is not available for transfer, the cash proceeds will be paid to the applicant's bank account detailed in section 6
   b) the instructions in this form will be carried out under the Terms and Conditions applicable to the account named in section 3 of this form
   c) completion of the transfer of ownership in accordance with my instructions shall constitute a full discharge of Quilter's liability to the deceased investor in relation to the account named in section 3 of this form.
4. I have read Quilter's privacy notice available at ***quilter.com/privacy*** concerning use of my personal information and that of any other party named in this application.

Signature: Date

Print name:

Signature: Date

Print name:

▶ *Applicants who are also legal personal representatives must sign in this section as well as section 9*

9. Applicant's declaration and signature

References to the 'application' in this declaration include the attached supplements (where completed).

Please read this section carefully – it is important that you read and understand the Terms and Conditions as well as this declaration. You should ask questions if there is anything you do not understand.

- All references to 'I', 'Me' or 'My' within this declaration mean you, as the investor.
- This declaration has 9 clauses, arranged into 5 sections:
  1 – My application and information
  2 – Keeping me informed
  3 – Fees
  4 – Asset classes
  5 – My declaration
- The APS Eligibility Declaration in section 1 is to confirm that the investor named on this application is eligible to make an Additional Permitted Subscription to an ISA in respect of the deceased also named on this application.

APS or **'Additional Permitted Subscription'** allows you to inherit an ISA allowance left behind by a spouse or civil partner when they die.

My application and information

APS Eligibility Declaration

1. On the basis of the details supplied in my application:

(a) (where assets are being transferred) I wish to subscribe from my APS allowance in respect of the deceased to a Stocks & Shares ISA, by in specie transfer of existing ISA investments that have remained with Quilter's nominee since the date of death.

(where cash is being transferred) I wish to subscribe from my APS allowance in respect of the deceased and wish to make my subscription to a Stocks & Shares ISA as a cash lump sum transferred from the deceased's ISA.

(b) I declare that:

i) all subscriptions made, and to be made, belong to me

ii) I am 18 years of age or over

iii) I was married to, or in a civil partnership with, the deceased at their date of death and have evidence to support this declaration that can be produced on request

iv) I am the surviving spouse of the deceased

v) I was living with the deceased within the meaning of section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under an order of a court or a deed of separation and were not separated in circumstances where the separation was likely to become permanent)

vi) the subscription is made under the provisions of regulation 5DDA of the ISA regulations (Additional Permitted Subscription)

vii) the subscription is being made within three years of the date of death or, if later, 180 days of the completion of the administration of the estate

viii) I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or the deceased performed such duties

ix) I am not a US citizen or US resident for tax purposes, nor a citizen of Russia or Belarus

x) I understand that once a subscription to an APS allowance has been made, any future subscriptions under that APS Allowance MUST be made to this ISA manager and be accompanied by an APS Eligibility Declaration.

(c) I understand that any money withdrawn from my ISA can be reinvested in the ISA within the same tax year without counting as a new subscription.

2. I authorise Quilter:

(a) to hold my cash subscription and ISA investments, interest distributions, dividends and any other rights or proceeds in respect of those investments and any other cash

(b) to make any claims to relief from tax in respect of ISA investments on my behalf

(c) to sell units or shares in investments within my account to meet any charges or fees for my financial adviser or discretionary investment manager (if applicable)

(d) to give effect to my instructions in accordance with the Terms and Conditions

(e) Unless I am topping up an existing account, I understand that I must activate an online account via the Quilter Customer app and/or online Customer Centre in order to access information regarding this account. I confirm that I will receive and access all correspondence from Quilter via the app and/or online Customer Centre for this account.

3. Privacy information - I confirm that:

(a) I have read Quilter's privacy notice available at ***quilter.com/privacy*** concerning use of my personal information.

(b) I have obtained the consent of any other party named in this application to use their personal data in accordance with Quilter's privacy notice.

4. Tax information - I understand that, for regulatory purposes, Quilter is required to obtain information concerning my tax status

(a) I declare that:

i) I am resident for taxation in the country(ies) shown as part of this application and I am not resident for taxation elsewhere

ii) I am a national of the country(ies) shown as part of this application and I am not a national or a citizen of any other country

iii) I will inform Quilter if this changes in the future

iv) the information and the statements made in this declaration regarding my tax status are correct and complete, to the best of my knowledge and belief.

(b) I understand that:

i) Quilter may need to contact me for further information regarding tax information

ii) if I am resident for taxation outside of the UK and/or a national/citizen of a country other than the UK, Quilter may need to share my information with the relevant tax authorities.

Keeping me informed

5. Contract notes - I understand that I will not receive contract notes relating to transactions involving the purchase or sale of investments which are carried out on a periodic basis in the manner agreed to in this application. Details of these transactions will be shown in the quarterly statement which will be sent to me. In particular, such transactions include:

- regular investments payable by Direct Debit
- phased investments
- rebalances carried out by my financial adviser or portfolio manager (if applicable)
- regular withdrawals
- dividend and rebate reinvestments
- sales of units to meet Quilter charges and fees for my financial adviser and discretionary investment manager (DIM) (if applicable).



Phased investment allows you to invest gradually from cash in your account into your chosen investment(s) over a period of 3, 6 or 12 months.

Rebalances are where the weightings of portfolio assets are periodically realigned, to match the percentages set by your financial adviser or portfolio manager.

6. **Important documents** - I have been given the opportunity to read and keep the following, and to have any questions concerning them answered to my satisfaction:

(a) Terms and Conditions, to which I agree

(b) Key Features Document

(c) an illustration and information about the costs and charges associated with my investment

(d) all available Key Investor Information Documents, Key Information Documents and information about rebates, in respect of my chosen assets

(e) Terms and Conditions for Quilter's online Customer Centre.

Fees

7. **Facilitation of fees** - If I have authorised fees for my financial adviser or DIM in respect of my application:

(a) I authorise Quilter to deduct those fees from my investment and pay them to my financial adviser or DIM (as applicable).

(b) I confirm that:

i) I have agreed any authorised fees with my financial adviser

ii) my financial adviser has explained the effect that selling units to pay fees from my investment will have on its future value.

(c) I understand that:

i) the fees agreed will be met as follows (as applicable):

- ongoing servicing fees, DIM portfolio fees and ad hoc fees - by deduction from cash in my account

ii) where fees will be met from cash in my account, if there is insufficient cash the shortfall will be met by selling proportionally from all funds in my account.

iii) if I authorise a servicing fee or DIM portfolio fee:

- as a percentage amount, the fee paid may go up or down depending on the value of the investment on the date the fee is calculated
- the agreed fee will be an annual amount, which will be divided by the payment frequency selected and paid to my adviser or DIM accordingly
- the servicing fee will apply to the entire account and will override any existing servicing fee on the account
- VAT may also be payable on DIM portfolio fees.

iv) fees will be paid in line with my account Terms and Conditions and subject to the limits outlined in Quilter's Remuneration Guide for financial advisers.

v) Quilter will confirm details to me of the fees applied to my account.

vi) I will need to reauthorise any increases to fees that have been previously agreed.

(d) I understand that:

i) I can cancel an adviser ongoing servicing fee at least 10 working days before it is deducted, by contacting Quilter, but I cannot make changes to a discretionary management portfolio fee

ii) I cannot cancel a fee once it has been deducted, instead I would need to contact my financial adviser to discuss whether a refund is payable

iii) if I cancel a fee, a pro rata payment may be made for the period up to the date of cancellation

Asset classes

8. **Exchange traded instruments (ETIs)** - Where the application includes instructions to invest into ETIs, I understand the additional charges that apply for transactions involving this type of asset as shown within the illustration.

My Declaration

9. **I declare that:**

(a) my application has been completed correctly and to the best of my knowledge and belief

(b) I have provided my correct email address to ensure that I receive notifications about my correspondence relating to this account.

Applicant's signature **Date**

Attorney's signature* *(if applicable)* *as attorney* **Date**

*The attorney must complete **Supplement B** with their details

- All types of investment involve some risk.
- Your account value may fall or rise and you might not get back what you put in.

Reset

quilter.com

Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years.

Quilter is the trading name of Quilter Investment Platform Limited which provides an Individual Savings Account (ISA), Junior ISA (JISA) and Collective Investment Account (CIA) and Quilter Life & Pensions Limited which provides a Collective Retirement Account (CRA) and Collective Investment Bond (CIB).

Quilter Investment Platform Limited and Quilter Life & Pensions Limited are registered in England and Wales under numbers 1680071 and 4163431 respectively.

Registered Office at Senator House, 85 Queen Victoria Street, London, EC4V 4AB, United Kingdom. Quilter Investment Platform Limited is authorised and regulated by the Financial Conduct Authority. Quilter Life & Pensions Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Their Financial Services register numbers are 165359 and 207977 respectively. VAT number 386 1301 59.

Quilter

Supplement A
Tax residency & nationality details

You only need to complete this page if:

- you hold tax residency outside of the UK *(including where you have dual tax residency status, as well as the UK)*
- your country of nationality is something other than the UK *(including where you have dual nationality)*

ISA applicant's full name

PART 1. Tax Residency

- Normally you are considered a tax resident in a country if you pay tax there. This could mean you are tax resident in multiple countries if you pay tax in multiple countries. However, some countries may consider you a tax resident based on your nationality (eg the United States).
- Your **Tax Identification Number (TIN)** is the number used to identify you for tax purposes. For example, in the UK the 'type of TIN' is your National Insurance number. For guidance on TINs for other countries see: ***www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers***

Please tell us where you are tax resident outside of the UK*

Country of tax residence 1

TIN

Type of TIN

Country of tax residence 2

TIN

Type of TIN

PART 2. Nationality

Please tell us which nationality you hold other than UK*

Country of nationality 1

Passport number

Passport expiry date

Country of nationality 2

Passport number

Passport expiry date

*Please note, if you are resident in the US for tax purposes or if you are a citizen of the US, Russia or Belarus you will not be able to proceed with your application.

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Supplement B
Attorney details

A separate copy of this supplement should be completed by each attorney acting on behalf of the applicant.

We will need to see either an original Power of Attorney document or an original copy certified on each page in ink by a solicitor. We will also need confirmation of the attorney's identity; see section 1.5 of the application.

ISA applicant's full name

Attorney's details

Title ○ Mr ○ Mrs ○ Miss ○ Ms ○ Other ▶ *Please specify*

First name(s)

Surname

Date of birth

Mobile phone number

Email

National Insurance (NI) number

OR If you are ineligible to have an NI number, tick here ○

Nationality

▶ *If not UK, we may need to ask for additional information.*

Full address

Postcode

▶ *If correspondence for the account is to be sent to this address, please enter it in section 2 of the main application.*

Under HMRC regulations, we can only accept an ISA application made under a Power of Attorney if we have confirmation that at least one of the following circumstances applies. Please tick to indicate why the investor is unable to apply him/herself:

○ Physical disability, illness or old age
○ Mental disorder or incapacity
○ The investor is a member of the armed forces on active service in a war zone.

Attorney's declaration

I declare that the information supplied above is accurate.

Attorney's signature

Date

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Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years.

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