

Using the editable fields?

To ensure the information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

Intermediary registration form

With this form you can:

- register your details with the following 'Providers':
 - Quilter Investment Platform Limited
 - Quilter Life & Pensions Limited

We regularly update our forms; you can confirm that this **March 2024** version is the latest by checking on our website quilter.com

Completing this form

- You can complete the form electronically using the editable fields, if preferred; we recommend saving the form to your desktop before you do so, to ensure that your information is saved correctly. Then print, sign and send the completed form to us.
- Alternatively, you can print the form and complete it by hand, using BLOCK CAPITALS and blue or black ink.
- For dates, please use the format day/month/year.
- **Please attach a sheet of your firm's letterhead to this form.**

Send your completed form as follows:

- **By post** - to Quilter, FAO Adviser Servicing, Quilter, SUNDERLAND, SR43 4JP.
- **By email** - to ask@quilter.com
- **By fax** - to 023 8048 1454

1. Intermediary details

Name of firm	<input type="text"/>				
Trading name <i>(If different from above)</i>	<input type="text"/>				
Address	<input type="text"/>			Postcode	<input type="text"/>
Telephone number	<input type="text"/>	Fax	<input type="text"/>		
Email address	<input type="text"/>	Website	<input type="text"/>		
Registered number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Type of firm	<input type="checkbox"/> Limited company	<input type="checkbox"/> Sole trader	<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Liability Partnership	
Full names of managing director, directors, partners or principal	<input type="text"/>				
	<input type="text"/>				
	<input type="text"/>				



Have the directors, partners or principal been associated with an intermediary which has registered to place business with any of the Provider companies?

No

Yes ▶ Please give details. Continue on a separate sheet if necessary

Has registration ever been refused to your firm by an insurance, assurance or investment company or society?

No

Yes ▶ Please give details. Continue on a separate sheet if necessary

Do you belong to a Service Provider/Are you an Associate Member of a Network?

No

Yes ▶ Please give details and attach a copy of your Confirmation of Membership where this is available

What is your firm's Financial Services Register Reference Number?

Do you use any lead generators or introducer firms?

No

Yes ▶ Please list their full company name and FCA number

What is your business model?

Advised

Non-Advised

A mixture ▶ Please estimate what percentage of your firm's business is non-advised %

Was your firm known by any other names in the past?

No

Yes ▶ Please give details. Continue on a separate sheet if necessary

2. Payment details

Required frequency of remuneration payments

Weekly Monthly Quarterly Half-yearly Yearly

Details of your firm's bank account

Branch sort code - - Bank account number

Bank name and address
Postcode

Name of bank account holder(s)

Direct credit payments will normally be cleared into your account three working days after the Remuneration Statement.



3. Access to Quilter's technology platform

Master user

The master user is the person responsible for specifying the individuals within your company who can have access to Quilter's technology platform. In a large company, this could be your systems administrator; in a smaller company it could be a senior office administrator, the principal or their PA.

The master user is responsible for the day-to-day monitoring and safekeeping of passwords and usernames.

The master user may appoint additional master users to assist in carrying out these responsibilities.

Master user's details

Title	Mr	Mrs	Miss	Ms	Other ▶ <i>Please specify</i>	<input type="text"/>
First name(s)	<input type="text"/>					
Surname	<input type="text"/>					
Email address	<input type="text"/>					
Telephone number	<input type="text"/>	Mobile ▶ <i>Optional</i>	<input type="text"/>			

4. Declaration

This declaration is made by each party signing below. It must be signed by all the directors, all the partners, or the principal.

- a) I acknowledge receipt of the Terms of Business and confirm acceptance of them.
- b) I declare that the statements made in this form are true and complete.
- c) I apply to place business with the Providers in accordance with the Terms of Business.
- d) I authorise the Providers to obtain any references they may require.

1. Signature	<input type="text"/>	Date	<input type="text"/>
Print full name	<input type="text"/>	Capacity*	<input type="text"/>
2. Signature	<input type="text"/>	Date	<input type="text"/>
Print full name	<input type="text"/>	Capacity*	<input type="text"/>
3. Signature	<input type="text"/>	Date	<input type="text"/>
Print full name	<input type="text"/>	Capacity*	<input type="text"/>
4. Signature	<input type="text"/>	Date	<input type="text"/>
Print full name	<input type="text"/>	Capacity*	<input type="text"/>

* eg director, partner, principal

Note For a limited company with more than one director or a partnership, we will accept the signature of only one director or partner on the understanding that the director or partner is signing for, and has the authority of, all directors or partners of the company or partnership.



[quilter.com](https://www.quilter.com)

Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years. Quilter is the trading name of Quilter Investment Platform Limited which provides an Individual Savings Account (ISA), Junior ISA (JISA) and Collective Investment Account (CIA) and Quilter Life & Pensions Limited which provides a Collective Retirement Account (CRA) and Collective Investment Bond (CIB).

Quilter Investment Platform Limited and Quilter Life & Pensions Limited are registered in England and Wales under numbers 1680071 and 4163431 respectively.

Registered Office at Senator House, 85 Queen Victoria Street, London, EC4V 4AB, United Kingdom. Quilter Investment Platform Limited is authorised and regulated by the Financial Conduct Authority. Quilter Life & Pensions Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Their Financial Services register numbers are 165359 and 207977 respectively. VAT number 386 1301 59.