

## Discounted gift trust

*For use with bare and discretionary versions*

*For completion with a financial adviser*

### With this form you can:

- ☒ apply for a Collective Investment Bond (CIB) to use with a discounted gift trust.

We regularly update our forms; your financial adviser can confirm that this **November 2025** version is the latest by checking on our website [quilter.com](https://www.quilter.com)



#### This application cannot be submitted online

- The **Capital Protected Death Benefit** cannot be selected for a discounted gift trust.
- If you send your payment at the same time as this application, we will invest it **without waiting for an underwriting decision. Please note the following:**
  - The cancellation period on the bond is 30 days from the bond start date. Since the underwriting process often exceeds 30 days, you will have to surrender the bond if you decide not to proceed.
  - No withdrawals are permitted until the trust is declared.
  - The discount calculation may be based on the bond value where the underwriting process exceeds 3 months.
  - If you would rather wait until underwriting is complete, **do not send a payment yet**; we will inform you of the underwriting decision after which payment can be sent for investment, if required.



#### Completing this form

- **Option 1 (electronic):** Save the form to your desktop, open it in **Adobe Acrobat** to complete the editable fields, then print and sign it.
- **Option 2 (by hand):** Print the form and complete it in BLOCK CAPITALS using blue or black ink.
- **Date Format:** please use **DD/MM/YYYY**.
- Missing or unclear information can result in delays. We are unable to correct errors or omissions retrospectively.

#### The form is in three parts:

- **Part A**, which comprises the CIB application
- **Part B**, which comprises a Health Declaration
- **Part C**, which comprises authorisation for us to obtain a General Practitioner's report.



#### Tax information

- Under Exchange of Information regulations we are required to obtain various details of our investors, including where they were born, their nationalities, countries of tax residence and related tax identification numbers - section 2 of this form is where you can provide this information.
- We also need to obtain 'a self-certification' that the information provided is true and complete - this is contained in the declaration in section 7.
- Non-UK tax - if you are subject to tax in any country outside the UK, please contact your tax specialist, to understand whether you will be liable for tax in that country



#### Send your completed form as follows:

- **By post to:** Quilter, SUNDERLAND, SR43 4JP.





## Important documents for you to read before completing your application

Your financial adviser will have provided you with the following:

- **CIB Key Features Document**
- **CIB Terms and Conditions** for Charge Basis 3
- **Costs and Charges documents**
- **Key Information Document (KID)** in respect of the CIB
- **Asset list** for your Charge Basis
- Information about the assets chosen for your investment, including:
  - **Key Investor Information Documents (KIIDs) or Key Information Documents (KIDs)**
  - **Details of any rebates applicable**
- **Privacy notice** concerning use of your personal information.

The declaration you sign in section 7 will include your confirmation that you have read these documents.

## Part A - Application for a Collective Investment Bond (CIB)

### 1. Financial adviser's details and declaration ► to be completed by your financial adviser

1.1	Network ► If applicable	<input type="text"/>	Company name	<input type="text"/>
	Assigned adviser/Account to receive remuneration	<input type="text"/>		

- |     |   |                 |   |
|-----|---|-----------------|---|
| 1.2 | Are you appropriately authorised to conduct this business?                  | Yes - go to 1.3 | No - you cannot submit this application |
| 1.3 | Did you give financial advice to the client in respect of this application? | Yes             | No                                      |

#### Declaration

**1.4 Adviser Fees** – I understand that adviser fees will be paid subject to the limits outlined in Quilter's Remuneration Guide.

**1.5 Confirmation of Verification of Identity** – I confirm that:

- a) I have verified the identity of all relevant parties referred to in this application
- b) the information in this form was obtained by me in respect of the relevant parties
- c) the evidence I have obtained to verify the identity of the relevant parties can be produced on demand and meets the standard of evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (JMLSG)
- d) if any individual referred to in this application has changed address within the last three months, I can provide evidence on demand
- e) I have not verified the identity of the following parties referred to in this application because they are exempt from verification under Money Laundering Regulations

- (f) this section is signed below by the person who has seen the documentary evidence (which may include an electronic identity check).

**1.6 Tax information** – based on the knowledge I have gained of the relevant parties, I have no reason to believe that the tax residency self-certification requires any follow-up action from me.

Financial adviser's signature	<input type="text"/>	Date	<input type="text"/>
Print name	<input type="text"/>	Position	<input type="text"/>
Email address	<input type="text"/>	Telephone	<input type="text"/>



#### Managed Portfolio Service (MPS) or Discretionary Investment Manager (DIM) portfolios

- If the asset selection in section 5 includes any MPS or DIM portfolios, you must also sign the declaration in section 8.

#### Additional needs

- If any of the parties associated with the investment (eg trustees) have any additional needs arising from aspects of their life such as their capabilities, health issues, life events, or their resilience, please capture the details on our **Additional Needs form**, available from our website. For more information about additional needs click [here](#) or go to 'help and support' on our website, [quilter.com](#).

## 2. Applicant details

### 2.1. First applicant

Title	Mr	Mrs	Miss	Ms	Other (please specify)	<input type="text"/>
First name(s)	<input type="text"/>					
Surname	<input type="text"/>					
Date of birth	<input type="text"/>					
Telephone number	<input type="text"/>			Email	<input type="text"/>	
National Insurance (NI) number	<input type="text"/>			OR	If you are ineligible to have an NI number, tick here	
Permanent UK residential address	<input type="text"/>					Postcode

► We cannot accept addresses that are 'care of', contain a PO Box number, or belong to your financial adviser.

Use the residential address for correspondence? \* Yes No - enter the correspondence address below

Correspondence address	<input type="text"/>
	Postcode

► We cannot accept a correspondence address that belongs to your financial adviser.

### Tax residency and nationality\*

Do you hold tax residency status anywhere other than the UK? ► Please tick one, as applicable

No, in the UK only

I hold dual tax residency and **AM** a Crown employee or their spouse

I hold dual tax residency and am **NOT** a Crown employee nor their spouse

Please complete the **Supplement on page 10**

What is your country of nationality? ► Please tick one, as applicable

I am a UK national only

I have either dual nationality or a non-UK nationality

Please complete the **Supplement on page 10**

### 2.2 Joint applicant (if applicable)

Title	Mr	Mrs	Miss	Ms	Other (please specify)	<input type="text"/>
First name(s)	<input type="text"/>					
Surname	<input type="text"/>					
Date of birth	<input type="text"/>					
Telephone number	<input type="text"/>			Email	<input type="text"/>	
National Insurance (NI) number	<input type="text"/>			OR	If you are ineligible to have an NI number, tick here	
Permanent UK residential address	<input type="text"/>					Postcode

► We cannot accept addresses that are 'care of', contain a PO Box number, or belong to your financial adviser.

Use the residential address for correspondence? \* Yes No - enter the correspondence address below

Correspondence address	<input type="text"/>
	Postcode

► We cannot accept a correspondence address that belongs to your financial adviser.

\* See overleaf.

## Tax residency and nationality\*

Do you hold tax residency status anywhere other than the UK? ► Please tick one, as applicable

No, in the UK only

I hold dual tax residency and **AM** a Crown employee or their spouse

I hold dual tax residency and am **NOT** a Crown employee nor their spouse

Please complete the **Supplement on page 10**

What is your country of nationality? ► Please tick one, as applicable

I am a UK national only

I have either dual nationality or a non-UK nationality

Please complete the **Supplement on page 10**



\*Please note:

- We will provide all correspondence for you digitally, and store it online in your document library for you to access through our online Customer Centre and Quilter App. We will send you details about how you can activate your online account and notify you by email each time we issue new correspondence. Once the trust is declared, we will also send correspondence by paper, unless instructed otherwise.
- If you are a citizen of Russia or Belarus, you will not be able to proceed with your application.

## 3. Lives assured details



The applicants named in section 2 (referred to in the trust deed as the 'settlers') or their spouses/civil partners may NOT be included as lives assured. This is because under the Finance Act 1986, Paragraph 7, Schedule 20, a gift with reservation may consequently arise.

1. Title	Mr	Mrs	Miss	Ms	Other (please specify)	<input type="text"/>
First name(s)	<input type="text"/>					
Surname	<input type="text"/>					
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
					Relationship to applicant	<input type="text"/>
2. Title	Mr	Mrs	Miss	Ms	Other (please specify)	<input type="text"/>
First name(s)	<input type="text"/>					
Surname	<input type="text"/>					
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
					Relationship to applicant	<input type="text"/>
3. Title	Mr	Mrs	Miss	Ms	Other (please specify)	<input type="text"/>
First name(s)	<input type="text"/>					
Surname	<input type="text"/>					
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
					Relationship to applicant	<input type="text"/>
4. Title	Mr	Mrs	Miss	Ms	Other (please specify)	<input type="text"/>
First name(s)	<input type="text"/>					
Surname	<input type="text"/>					
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
					Relationship to applicant	<input type="text"/>

## 4. Contribution details



- If you send your payment at the same time as this application, we will invest it **without waiting for an underwriting decision**.
- If you would rather wait until underwriting is complete, **do not send a payment yet**; we will inform you of the underwriting decision after which payment can be sent for investment, if required.



### Payment

- **Bank transfer:** send to Quilter Life and Pensions Ltd Collections Account  
NatWest Sort Code 56-00-68, Account Number 42086841  
Reference - *your account number with us, starting with AC; if not known, enter your surname.*
- **Cheques:** make your cheque payable to Quilter Life and Pensions Ltd Collections Account  
*If known, please write your account number with us, starting with AC, on the back of the cheque*
- If you are sending **more than one payment** for your application, we will invest your contribution only when we have received all payments.
- If you are sending payment **for more than one application**, please send a separate payment for each one.

### Phased investment

- You can place single investments into the BlackRock Cash Fund within your CIB, then phase the investment into your choice of assets in equal instalments on the first working day of each month for 3, 6 or 12 months.
- To select phased investment, complete the required start date and number of months below, then list your choice of assets in section 5.
- If you do not specify a start date, we will begin phasing the month following your investment.
- Any phased investment instructions will be cancelled once the bond is assigned into trust; this is because the ownership changes. To re-apply phased investment, please speak to your financial adviser who can provide our Phased Investment Request form, which can be sent to us after the bond has been assigned into trust.

### Initial fees

- **Initial fees are deducted prior to investment.**
- The 5% tax-deferred allowance on the bond should therefore be calculated using the net investment amount.
- Percentage fees can be entered up to two decimal places.

Investment amount	£ <input type="text"/>	(minimum £10,000)
Payment method	Cheque number <input type="text"/>	Bank transfer
Phased investment (if required)	Start date: <input type="text"/> 0 <input type="text"/> 1 <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Number of months <input type="text"/> 3 <input type="text"/> 6 <input type="text"/> 12
Adviser's initial fee	£ <input type="text"/>	OR <input type="text"/> %

## 5. Your asset selection

### 5.1 Portfolios

#### Managed Portfolio Service (MPS) and Discretionary Investment Management (DIM) Portfolios

- If you wish to invest using our WealthSelect MPS or into DIM portfolios, enter details of the portfolio(s)\* required in the table overleaf.  
\*Some MPS portfolios are not available for the CIB, more information is in the Adviser Guide to the MPS.
- The MPS/DIM portfolio declaration in section 8 **must also be signed by your financial adviser**.



Please be aware that any MPS, DIM or adviser portfolios set up as part of this application will be cancelled once the bond is assigned into trust; this is because the ownership changes. If the trustees still then wish to invest in a portfolio, they will need to speak to their financial adviser who will help to arrange the switch online. This will need to be done after the bond has been assigned into trust.

### 5.2 We will automatically default to the 'unbundled' versions of any assets you choose in section 5.3.

- **'Unbundled'** assets typically have lower Annual Management Charges (AMC) with reduced rebates.
- **'Bundled'** assets have full AMC with rebates.

Tick here if you want the 'bundled' version of your asset choice, if available.

**5.3 State your asset choice in the table below**



- If you selected **phased investment** in section 4, you cannot phase back into the BlackRock Cash fund, so please do not select that fund as one of your asset choices below.
- **If an asset choice you give is missing, illegible or otherwise invalid**, we will place the investment into the BlackRock Cash Fund within your CIB, after which you or your adviser can switch into a revised asset choice.
- **If neither Income (Inc) nor Accumulation (Acc) is specified**, accumulation units will be applied, where available. If the selected unit type is unavailable, the alternative (either income or accumulation units) will be applied instead.
- **If you need more space for your asset choice**, please use a copy of this page and attach it to this application.

### Discretionary Investment Manager (DIM) – Portfolio Fee

If the asset choice includes a DIM portfolio, your adviser can record the related fee details here.

Portfolio Fee Amount:

%

of the portfolio value each year

Model portfolio(s) to which the fee relates

Frequency

Monthly

Quarterly

Half-yearly

Yearly

## 6. Charges and fees

*Part A - Quilter charges*

- Your CIB is subject to charges.
- More information about charges and fees is in the important documents listed on page 2.

### Part B - Adviser Ongoing Servicing Fees

Servicing fees cannot be authorised until the trust is declared.



- Once the trust has been declared, the trustees may wish to pay the financial adviser a servicing fee.
- This fee will need to be agreed with your financial adviser.
- All the trustees will need to authorise deduction of this fee.
- Any adviser fees paid from your CIB will count towards your 5% annual withdrawal allowance and may, therefore, have tax implications.

## 7. Declaration and signatures

References to the 'application' in this declaration include Parts B and C, as well as section 8 and the supplement on page 10 (where completed).

Please read this section carefully – it is important that you read and understand the Terms and Conditions as well as this declaration. You should ask questions if there is anything you do not understand.

- All references to 'I', 'Me' or 'My' within this declaration mean you, as the investor.
- This declaration has 8 clauses, arranged into 4 sections:
  - 1 - My application and information
  - 2 - Keeping me informed
  - 3 - Fees
  - 4 - My declaration
- The declaration is made by each party associated with the application.

## My application and information

### 1. On the basis of the details supplied in my application:

- a) I apply to invest in 1,000 unit-linked, whole of life assurance policies referred to collectively as the Collective Investment Bond. Alternatively, I apply to invest an additional amount into my existing Collective Investment Bond with that additional amount invested equally in each policy.
- b) I declare that:
  - i) I am 18 years of age or over
  - ii) the applicant is resident in the United Kingdom (this does not apply to top-ups) and will inform Quilter Life & Pensions Limited if this changes in the future
  - iii) I am not a citizen of Russia or Belarus.
- c) I understand that Quilter will administer the Collective Investment Bond in accordance with UK tax legislation.
- d) Unless I am topping up an existing account, I understand that I must activate an online account via the Quilter Customer app and/or online Customer Centre in order to access information regarding this account. I confirm that I will receive and access all correspondence from Quilter via the app and/or online Customer Centre for this account.
- e) I understand that incorrect information regarding lives assured may result in a reduced death benefit being paid.
- f) I confirm that if withdrawals are to be paid to a beneficiary, the beneficiary is 18 or over.

### 2. I authorise Quilter:

- a) to hold interest distributions, dividends, rebates and any other rights or proceeds in respect of the investments in the Collective Investment Bond
- b) to sell units or shares in investments within the bond to meet any charges or fees for my financial adviser or discretionary investment manager (if applicable)
- c) to give effect to my instructions in accordance with the Terms and Conditions.

### 3. Personal information - I confirm that:

- a) I have read Quilter's privacy notice available at [quilter.com/privacy](https://quilter.com/privacy) concerning use of my personal information
- b) I have obtained the consent of any other party named in this application (including each life assured) to use their personal data in accordance with Quilter's privacy notice.

### 4. Tax information - I understand that for regulatory purposes Quilter is required to obtain information concerning my tax status.

- a) I declare that:
  - i) I am resident for taxation in the country(ies) shown as part of this application and I am not resident for taxation elsewhere
  - ii) I am a national of the country(ies) shown as part of this application and I am not a national or a citizen of any other country
  - iii) I will inform Quilter if this changes in the future
  - iv) the information and the statements made in this declaration regarding my tax status are correct and complete, to the best of my knowledge and belief.
- b) I understand that:
  - i) Quilter may need to contact me for further information regarding tax information
  - ii) if I am resident for taxation outside of the UK and/or a national/citizen in a country other than the UK, Quilter may need to share my information with the relevant tax authorities.

## Keeping me informed

### 5. Contract notes - I understand that I will not receive confirmation relating to transactions involving the purchase or sale of investments, which are carried out on a periodic basis in the manner agreed to in this application. Details of these transactions will be shown in the periodic statement which will be sent to me. In particular, such transactions include:

- phased investments
- rebalances carried out by my financial adviser or portfolio manager (if applicable)
- regular withdrawals
- dividend and rebate reinvestments,
- sales of units to meet Quilter charges and fees for my financial adviser and discretionary investment manager (if applicable).



**Phased investment** allows you to invest gradually from a cash fund in your account into your chosen investment(s) over a period of 3, 6 or 12 months.

**Rebalances** are where the weightings of portfolio assets are periodically realigned, to match the percentages set by your financial adviser or portfolio manager.

6. **Important documents** – I have been given the opportunity to read and keep the following, and to have any questions concerning them answered to my satisfaction:
- a) Terms and Conditions, to which I agree
  - b) Key Features Document
  - c) Key Information Document about the Collective Investment Bond
  - d) an illustration and information about the costs and charges associated with my investment
  - e) information including rebates, in respect of my chosen funds
  - f) Terms and Conditions for Quilter's online Customer Centre.

## Fees

7. **Facilitation of fees** – If I have authorised fees for my financial adviser or discretionary investment manager (DIM) in respect of my application:
- a) I authorise Quilter to deduct those fees from my investment and pay them to my financial adviser or DIM (as applicable).
  - b) I confirm that:
    - i) I have agreed any authorised fees with my financial adviser
    - ii) my financial adviser has explained the effect that selling units to pay fees from my investment will have on its future value and the tax implications of Quilter deducting these fees.
  - c) I understand that:
    - i) the fees agreed will be met as follows (as applicable):
      - Initial fee – by deduction from my payment before the balance is invested into my choice of assets
      - Ongoing servicing fees, DIM portfolio fees and ad hoc fees - by selling units proportionally from all assets in my bond
    - ii) if I authorise a servicing fee or DIM portfolio fee:
      - as a percentage amount, the fee paid may go up or down depending on the value of the investment on the date the fee is calculated
      - the agreed fee will be an annual amount, which will be divided by the payment frequency selected and paid to my adviser or DIM accordingly
      - the servicing fee will apply to the entire bond and will override any existing servicing fee on the bond
      - VAT may also be payable on DIM portfolio fees.
    - iii) Fees will be paid in line with my bond Terms and Conditions and subject to the limits outlined in Quilter's Remuneration Guide for financial advisers
    - iv) Quilter will confirm details to me of the fees applied to my bond
    - v) I will need to reauthorise any increases to fees that have been previously agreed
    - vi) Ongoing servicing fees, DIM portfolio fees and ad hoc fees are taken as withdrawals from my bond and will utilise some of the 5% tax deferred allowance.
  - d) I understand that:
    - i) I can cancel an adviser ongoing servicing fee at least 10 working days before it is deducted, by contacting Quilter, but I cannot make changes to a discretionary management portfolio fee
    - ii) I cannot cancel a fee once it has been deducted, instead I would need to contact my financial adviser to discuss whether a refund is payable
    - iii) If I cancel a fee, a pro rata payment may be made for the period up to the date of cancellation.
  - e) Where I am investing an additional amount into my existing Collective Investment Bond and I am converting to Charge Basis 2:
    - i) I instruct Quilter to stop any commission payments currently being paid to my adviser (if applicable) in respect of this investment under Charge Basis 1 with immediate effect
    - ii) I understand that existing commission arrangements will not convert to fees when my account changes to the new Charge Basis, and that fees will therefore need to be agreed separately
    - iii) I confirm that Quilter has brought to my attention the importance of discussing adviser fees with my financial adviser before authorising them.

## My Declaration

8. I declare that:
- a) my application has been completed correctly and to the best of my knowledge and belief
  - b) I have provided my correct email address to ensure that I receive notifications about my correspondence relating to this account.

Signatures ► *All applicants must sign*

Applicant 1

Date

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Applicant 2 (if applicable)

Date

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- All types of investment involve some risk.
- Your account value may fall or rise and you might not get back what you put in.



## 8. Managed Portfolio Service (MPS) and Discretionary Investment Manager (DIM) Portfolios

If the assets selected in section 5 include MPS or DIM portfolios, this section must be completed by your financial adviser.

► Please be aware that the portfolios will be cancelled once the bond is assigned into trust; see the note in section 5.1

### Part A - Where the assets in section 5 include any MPS portfolios

1. I have read and agreed to the following WealthSelect Managed Portfolio Service declaration.
2. I confirm that I have explained the WealthSelect Managed Portfolio Service (MPS) to my client(s) and I have their authority to use the MPS in respect of their account and I request that you invest their account into the Managed Portfolio I have selected.
3. I confirm for each client:
  - a) I have given advice in relation to the suitability of using the Managed Portfolio Service and to the Managed Portfolio to be selected which meets my client's needs.
  - b) I have provided the client with the factsheet for the relevant Managed Portfolio; I have given the client an opportunity to read it and they understand the investment risks relating to the Managed Portfolio Service in general and specifically to the relevant Managed Portfolio.
  - c) I am responsible for ensuring that the Managed Portfolio selected is suitable currently and on an ongoing basis in accordance with my duties under the FCA rules.
  - d) My client is aware that you, as the portfolio manager, will have complete discretion over the assets in each Managed Portfolio to buy, sell, retain, exchange or otherwise deal in assets, take all routine and day-to-day decisions about the asset allocation of the Managed Portfolios, and that the client and I are not permitted to provide such dealing instructions or modifications to the Managed Portfolio.
  - e) My client accepts that the agreement to provide the Managed Portfolio Service is between you and them as detailed in the Terms and Conditions, and you are offering this service to them as part of your agreement with them on the condition that I am appointed as their financial adviser to offer advice in respect of the ongoing suitability of the Managed Portfolio for their needs.
4. **Where a portfolio that has an explicit Managed Portfolio Service Charge is chosen**
  - a) My client understands and agrees that, while the Managed Portfolio Service is in use for their account, a Managed Portfolio Service Charge will be applied in accordance with the latest Terms and Conditions for that account. I have explained that:
  - b) The Managed Portfolio Service Charge will be applied as a percentage of the value held within the Managed Portfolio Service and that the amount of the charge may go up or down depending on the value of the investments.
  - c) The Managed Portfolio Service Charge is accrued daily and will be deducted from the account on the Account Charge Date.
5. **My client understands that** deductions to meet withdrawals cannot be taken from individual assets within the Managed Portfolio, only from the Managed Portfolio as a whole.
6. **My client has a copy** of the latest Charge Basis 3 Terms and Conditions which includes the WealthSelect Managed Portfolio Service. They have had an opportunity to read and understand them before agreeing to the use of the Managed Portfolio Service.

The latest version of the Terms and Conditions can be found on our website [quilter.com](https://www.quilter.com)

### Part B - Where the assets in section 5 include any DIM portfolios

1. I have read and agreed to the following discretionary managed model portfolio declaration.
2. I confirm that I have explained the discretionary managed model portfolio service to my client(s) and I have authority from my client(s) to use the discretionary managed model portfolio(s) selected in respect of their account and I request that you invest their account into the model portfolio(s) I have selected.
3. I confirm that I have disclosed costs and charges to my client(s). I have printed/will print an Adviser Fee Authorisation form for my client(s) to sign and will retain a copy of this confirming their acceptance of the discretionary management portfolio fee (if applicable) associated to the model(s).
4. I understand that Quilter may ask for sight of the signed Adviser Fee Authorisation form in the future.
5. I confirm that for each client:
  - a) I have given advice in relation to the suitability of using the discretionary managed model portfolio(s) selected which meets my client's needs.
  - b) I am responsible for ensuring that the discretionary managed model portfolio(s) is suitable currently and on an ongoing basis in accordance with my duties under the FCA rules.
  - c) My client is aware that the portfolio manager will have complete discretion over the assets in each discretionary managed model portfolio to buy, sell, retain, exchange or otherwise deal in assets, take all routine and day-to-day decisions about the asset allocation of the discretionary managed model portfolio, and that the client and I are not permitted to provide such dealing instructions or modifications to the discretionary managed model portfolio.
  - d) My client accepts that the agreement to provide the discretionary managed model portfolio is between the discretionary investment manager and me, and I am offering this service to them as part of my agreement with them.
  - e) My client has been made aware of the roles and responsibilities of the discretionary manager in line with regulatory guidance.

Financial adviser's signature

Date

Print name



## Supplement Tax residency & nationality details

You only need to complete this page if:

- you hold tax residency outside of the UK (*including where you have dual tax residency status, as well as the UK*)
- your country of nationality is something other than the UK (*including where you have dual nationality*)

CIB applicant's full name

### PART 1. Tax Residency



- Normally you are considered a tax resident in a country if you pay tax there. This could mean you are tax resident in multiple countries if you pay tax in multiple countries. However, some countries may consider you a tax resident based on your nationality (eg the United States).
- Your **Tax Identification Number (TIN)** is the number used to identify you for tax purposes. For example, in the UK the 'type of TIN' is your National Insurance number. For guidance on TINs for other countries see: [www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers)

Please tell us where you are tax resident outside of the UK

Country of tax residence 1

TIN

Type of TIN

Country of tax residence 2

TIN

Type of TIN

### PART 2. Nationality

Please tell us which nationality you hold other than UK

Country of nationality 1

Passport number

Passport expiry date

Country of nationality 2

Passport number

Passport expiry date



Please note, if you are a citizen of Russia or Belarus you will not be able to proceed with your application.

[quilter.com](http://quilter.com)

Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years.

Quilter is the trading name of Quilter Investment Platform Limited which provides an Individual Savings Account (ISA), Junior ISA (JISA) and Collective Investment Account (CIA) and Quilter Life & Pensions Limited which provides a Collective Retirement Account (CRA) and Collective Investment Bond (CIB).

Quilter Investment Platform Limited and Quilter Life & Pensions Limited are registered in England and Wales under numbers 1680071 and 4163431 respectively.

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## Discounted gift trust

### Part B - Health Declaration

This declaration must be completed by the applicant(s) for the Collective Investment Bond. The underwriting decision will be based on your answers AND further medical information we may request from your GP or other medical specialist.

Please tick/complete, as applicable, using BLOCK CAPITALS and blue or black ink.

► If there is insufficient space for your responses, please continue on a separate page and attach it to your application.

#### First applicant

**Have you ever applied for a discounted gift scheme and been advised of a zero discount?**

Yes

No

If you have ticked Yes, please give details.


Please note we may be unable to accept your application if you have been advised of a zero discount.

**1. Are you currently awaiting/undergoing any specialist investigations or in-patient treatment?**

Yes

No

If yes, please give details.


**2. Do you suffer from or have you ever undergone treatment, investigations or operations for the following illnesses and conditions?**

- Heart disease, disorder or surgery
- Stroke or TIA (Transient Ischaemic Attack)
- Diabetes
- Cancer, Hodgkin's disease, lymphoma or leukaemia
- Dementia, Alzheimer's disease or memory loss symptoms
- Any neurological disorder, loss of balance or falls

Yes

No

If yes, please state the condition, details of the treatment and the dates.


**3. Are you able to live independently?**

Yes

No

**4. Do you receive regular care assistance?**

Yes

No

If yes, please give details.


**5. Please confirm your height and weight**

**Height**

m OR

ft

--	--

 ins

**Weight**

kg OR

--	--

 st

lbs

--	--

**6. Do you smoke cigarettes, or have you in the last 12 months?**

Yes

No

If yes, what is your daily consumption?

**Joint applicant (if applicable)**

**Have you ever applied for a discounted gift scheme and been advised of a zero discount?**

Yes

No

If you have ticked Yes, please give details.


Please note we may be unable to accept your application if you have been advised of a zero discount.

**1. Are you currently awaiting/undergoing any specialist investigations or in-patient treatment?**

Yes

No

If yes, please give details.


**2. Do you suffer from or have you ever undergone treatment, investigations or operations for the following illnesses and conditions?**

- Heart disease, disorder or surgery
- Stroke or TIA (Transient Ischaemic Attack)
- Diabetes
- Cancer, Hodgkin's disease, lymphoma or leukaemia
- Dementia, Alzheimer's disease or memory loss symptoms
- Any neurological disorder, loss of balance or falls

Yes

No

If yes, please state the condition, details of the treatment and the dates.


**3. Are you able to live independently?**

Yes

No

**4. Do you receive regular care assistance?**

Yes

No

If yes, please give details.


**5. Please confirm your height and weight**

**Height**

m OR

ft   ins

**Weight**

kg OR   st   lbs

**6. Do you smoke cigarettes, or have you in the last 12 months?**

Yes

No

If yes, what is your daily consumption?



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## Discounted gift trust

### Part C - Authorisation to Obtain a General Practitioner's Report

This form should be completed in conjunction with Parts A and B.

Quilter Life & Pensions Limited will determine an estimated discounted value of the gift, based on information in the General Practitioner's Report. Please ensure you read sections 2, 3 and 4 overleaf before signing in section 4.

#### 1. Bond applicant details

##### First applicant

Full name

Residential address

Postcode

Date of birth (dd/mm/yyyy)

##### Doctor's details

Doctor's full name

Doctor's address

Postcode

Telephone number

##### Previous doctor's details

*\*if registered with your current doctor for less than six months*

Previous doctor's full name

Previous doctor's address

Postcode

Telephone number

##### Joint applicant *(if applicable)*

Full name

Residential address

Postcode

Date of birth (dd/mm/yyyy)

##### Doctor's details

Doctor's full name

Doctor's address

Postcode

Telephone number

##### Previous doctor's details

*\*if registered with your current doctor for less than six months*

Previous doctor's full name

Previous doctor's address

Postcode

Telephone number

## 2. Your rights of access to medical reports

Before we can apply for a medical report from a doctor who has cared for you, we need you to give your consent by signing in section 4.

Please read the information below carefully as it explains the rights of UK residents under the Access to Medical Reports 1988 and the Access to Personal Files and Medical Reports (N.I.) Order 1991. You do not have to give your consent but, if you do, you should state in section 3 whether you wish to see the report before it is sent to our Chief Medical Officer.

If you wish to see the report, we will write to you when we ask the doctor for it and tell the doctor that you wish to see the report. You must contact the doctor to arrange to see the report within 21 days of receiving our letter. The sooner you act, the sooner we can consider your application. If you say you do not wish to see the report, we do not have to tell you if we apply for one. However, if you change your mind before such a report is sent to us, you should contact the doctor immediately, saying you wish to see it. You will have 21 days from then to see the report.

If you see a report before we do, the doctor cannot send it to us without your consent. You can write to the doctor, asking him/her to amend any part of the report which you consider to be incorrect or misleading. You can also ask the doctor to attach a statement of your views on any part of the report where you and the doctor do not agree and the doctor is not willing to alter the report.

Whatever you decide, you can still ask the doctor for a copy up to six months after it is completed. If you ask the doctor for a copy of the report, he/she can charge you a reasonable fee to cover costs.

Doctors do not always have to let you see any part of a report for the following reasons:

- if the doctor thinks it would be likely to cause serious harm to your physical or mental health or that of others
- if the report would indicate the doctor's intentions towards you
- if disclosure would be likely to reveal information about another person
- if disclosure might reveal the identity of another person who has supplied information about you, unless that person has consented or the information comes from a health professional involved in caring for you.

In such cases, the doctor must tell you, and you will only be able to see any remaining part of the report. If the whole report is affected, the doctor cannot send it to us without your consent.

## 3. Access to reports

Under the Access to Medical Reports Act 1988 and the Access to Personal Files and Medical Reports (N.I.) Order 1991, UK residents have certain rights regarding any medical report which we may ask a doctor to complete. Please read the details of these rights in section 2 before completing this part.

Note: If you indicate that you wish to see any medical report before it is sent to us, this may delay this application. You may prefer not to see any report at this stage and to take advantage of the right to ask your doctor for a copy up to six months after it is completed.

Each applicant must tick one box only; if neither is ticked, we will assume that the applicant does not wish to see the report.

**First applicant**      **Joint applicant (if applicable)**

I wish to see the report before it is sent to Quilter Life & Pensions Limited

I do **not** wish to see the report before it is sent to Quilter Life & Pensions Limited

## 4. Declaration

This declaration is made by each applicant.

I have been informed of my statutory rights under the Access to Medical Reports Act 1988 and the Access to Personal Files and Medical Reports (N.I.) Order 1991 (see sections 2 and 3). In connection with the contract applied for, I consent to Quilter Life & Pensions Limited obtaining a medical report from any medical practitioner who has attended me in England, Scotland, Wales and Northern Ireland.

I agree that a copy of this consent will have the validity of the original.

Signatures ► *All applicants must sign*

Applicant 1

Date

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Applicant 2 (if applicable)

Date

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